

## TABLE OF CONTENTS

Dedication .....	iii
Preface .....	v

### CHAPTER 1

#### Introduction: New Law for New Technology

§ 1.01	“Cyberspace Law”: A Premature Baby? .....	1-1
§ 1.02	The Digital Millennium Copyright Act: A New Departure .....	1-8
	[1] The Deficiencies in Copyright Enforcement Addressed by the DMCA .....	1-13
	[2] The Justification for Focusing on Protective Technologies .....	1-18
	[3] The Dangers of Focusing on Copy-Control Technology .....	1-29

### CHAPTER 2

#### Legal Reinforcement of Technological Measures for Protecting Copyrighted Works: The Anti-Circumvention Rule and the Anti-Trafficking Rules

§ 2.01	Introduction .....	2-4
§ 2.02	Implementing the Two WIPO Treaties: Practical and Policy Risks .....	2-6
§ 2.03	The Millennium Act’s Rules: Classification and Terminology .....	2-17
§ 2.04	The Anti-Circumvention Rule .....	2-21
	[1] Strength of the Rule .....	2-40
	[2] Delayed Effective Date .....	2-46.3

[3]	Regulatory Exemptions of the Librarian of Congress . . . . .	2-46.4
[a]	The Librarian's Rulemaking Power. . . . .	2-46.16
[b]	The Librarian's Year-2000 Exemptions. . . . .	2-46.34
[i]	Exemption for Lists of WebSites Blocked by Screening Software . . . . .	2-46.35
[ii]	Exemption for Literary Works Blocked by Obsolete or Malfunctioning Access-Control Measures . . . . .	2-47
[iii]	Denied Exemptions . . . . .	2-50
[c]	The Librarian's Year-2003 Exemptions. . . . .	2-54
[i]	Modified Exemption for Screening Blacklists. . . . .	2-55
[ii]	Exemption for Computer Programs to Which Access Is Blocked by Malfunctioning, Obsolete Dongles. . . . .	2-56.3
[iii]	Exemption for Computer Programs and Video Games in Obsolete Formats that Require Original Media or Hardware for Access . . . . .	2-56.6
[iv]	Exemption for Literary Works in eBook Format with Both "Read-Aloud" and "Specialized Format" Features Blocked . . . . .	2-56.11
[v]	Denied Exemptions . . . . .	2-56.14
[d]	The Librarian's Year-2006 Exemptions. . . . .	2-56.23
[e]	The Librarian's Year-2010 Exemptions. . . . .	2-56.28
[f]	The Librarian's Year-2012 Exemptions. . . . .	2-56.31
[g]	The Unlocking Consumer Choice and Wireless Competition Act of 2014. . . . .	2-56.37

# TABLE OF CONTENTS

xiii

	[h] Author's Comment on Requirements for Rulemaking Exemptions . . . . .	2-56.39
§ 2.05	The Anti-Trafficking Rules . . . . .	2-56.48
	[1] The Seven Elements of a Civil Trafficking Offense . . . . .	2-56.62
	[a] Summary . . . . .	2-56.62
	[b] Trafficking . . . . .	2-56.63
	[c] Means for Circumvention . . . . .	2-56.65
	[d] Satisfaction of Condition (A), (B) or (C) . . . . .	2-56.69
	[e] A Technological Measure for Access or Use Control . . . . .	2-56.75
	[f] Effectiveness of Technological Measure . . . . .	2-56.87
	[g] A Protected Work . . . . .	2-56.96
	[h] Nature of the Protected Work . . . . .	2-56.105
	[2] The Distinction Between Access Controls Under Subsection (a)(2) and Use Controls Under Subsection (b) . . . . .	2-56.108
	[a] The Distinction and Its Consequences . . . . .	2-56.109
	[b] Examples: Chasing the Bits and Bytes Versus Economic Substance . . . . .	2-56.131
	[c] Decisions that Misapplied the Statute . . . . .	2-56.163
	[i] The Federal Circuit's Erroneous Alternative Universe . . . . .	2-56.164
	[ii] The Eighth Circuit's Misconception of Server Technology in Davidson . . . . .	2-56.178
	[iii] Courts Correctly Recognizing the Statutory Framework . . . . .	2-56.184
	[iv] Author's Comment: A Failed Statute . . . . .	2-56.187
	[3] The Three Conditions, (A), (B) and (C), Relating to Trafficking . . . . .	2-56.196
	[a] The Three Conditions (A), (B) and (C) and the Librarian's Regulatory Exemptions . . . . .	2-56.198

	[b] The Three Conditions (A), (B) and (C) and Their Similarity to Conditions for Secondary Liability for Copyright Infringement . . . . .	2-56.201
	[4] The Practical Effect of the Anti-Trafficking Rules on Users of Copyrighted Works . . . . .	2-56.213
§ 2.06	The Distinction Between the Anti-Circumvention Rule and the Anti-Trafficking Rules . . . . .	2-56.217
§ 2.07	Section 1201 and Fair Use . . . . .	2-56.223
	[1] Section 1201: <i>Not</i> Copyright Law . . . . .	2-56.235
	[2] Does Section 1201 Incorporate Principles of Fair Use? . . . . .	2-56.243
	[a] Fair Use and Subsection (a) . . . . .	2-56.244
	[b] Fair Use and Subsection (b) . . . . .	2-56.254
§ 2.08	Limitations and Exceptions: An Overview . . . . .	2-56.261
§ 2.09	Mandates for Specific Control Technologies . . . . .	2-61
§ 2.10	Constitutional Analysis . . . . .	2-67
	[1] A Constitutional Overview . . . . .	2-72
	[2] The Effect of the Anti-Circumvention and Anti-Trafficking Rules on Balance in Copyright Law . . . . .	2-82
	[a] Balance in Copyright Law and Policy . . . . .	2-84
	[b] Preexisting Mechanisms for Balance in Copyright Law . . . . .	2-85
	[c] Balance and Section 1201 . . . . .	2-89
	[d] Effects of the New “Balance”: Unintended Consequences? . . . . .	2-97
	[3] Section 1201 and the First Amendment . . . . .	2-102
	[a] The <i>Reimerdes</i> Decision: Anti-Trafficking Liability and the First Amendment . . . . .	2-105
	[b] The First Amendment, Computer Programs, and Internet Technology . . . . .	2-119
	[i] Is Binary Executable Code “Speech”? . . . . .	2-119
	[ii] Some Useful Analogies . . . . .	2-138
	[iii] Beyond Binary Executable Code . . . . .	2-142
	[iv] The Hard Cases: Mixed-Use Technology and Linking . . . . .	2-151

**CHAPTER 3****Exceptions to the Anti-Circumvention Rule  
and the Anti-Trafficking Rules**

§ 3.01	Introduction . . . . .	3-1
§ 3.02	Drafting of the Exceptions . . . . .	3-5
§ 3.03	Exceptions Addressing Noncopyright Policy Goals . . . . .	3-8
	[1] <i>Bona Fide</i> Encryption Research . . . . .	3-8
	[2] Law Enforcement and Intelligence Activities . . . . .	3-19
	[3] Security Testing of Computers, Systems, and Networks . . . . .	3-21
	[4] Countermeasures Solely to Protect Individuals' Privacy . . . . .	3-31
	[5] Protection of Minors . . . . .	3-45
§ 3.04	Exceptions to Preserve Copyright Exemptions . . . . .	3-48
	[1] Introduction . . . . .	3-48
	[2] Reverse Engineering for Computer-Program Interoperability . . . . .	3-48
	[3] Exemption for Nonprofit Libraries, Archives, and Educational Institutions . . . . .	3-64.1

**CHAPTER 4****Prohibitions Against Providing False Copyright  
Management Information and Removing or  
Altering Copyright Management Information**

§ 4.01	Introduction . . . . .	4-2
§ 4.02	What Copyright Management Information Is . . . . .	4-6
	[1] The Exclusion of Personally Identifying Information . . . . .	4-11
	[2] The Association of CMI with Copyrighted Works . . . . .	4-14
	[3] The Legal Effect of the Use of CMI and Its Association with Copyrighted Works . . . . .	4-18
	[4] Limits on the Scope of CMI . . . . .	4-23

§ 4.03	The Copyright Management Information Offenses . . . . .	4-28.1
	[1] Disseminating False Copyright Management Information . . . . .	4-31
	[2] Removing or Altering Copyright Management Information . . . . .	4-36
	[3] The Dissemination Offenses . . . . .	4-42
§ 4.04	State of Mind Requirements . . . . .	4-46
	[1] The “Disseminating False CMI” Offense. . . . .	4-49
	[2] The Removing-or-Altering Offense. . . . .	4-52
	[3] The Dissemination Offenses . . . . .	4-62
	[4] The Additional “It Will” Element. . . . .	4-64.1
§ 4.05	Exceptions and Limitations . . . . .	4-73
	[1] The Law Enforcement and Intelligence Exemption . . . . .	4-73
	[2] Technical Feasibility Exemption. . . . .	4-73
	[3] The Accepted Standards Exceptions. . . . .	4-75

## CHAPTER 5

### **Civil and Criminal Liability for Violating Anti-Circumvention, Anti-Trafficking, and Copyright Management Information Rules**

§ 5.01	Introduction. . . . .	5-2
§ 5.02	Standing to Claim Relief . . . . .	5-5
	[1] Copyright Owners and Exclusive Licensees . . . . .	5-7
	[2] Beneficial Owners of Copyright. . . . .	5-9
	[3] Nonexclusive Licensees. . . . .	5-11
	[4] Practical Problems: Joinder of Parties and Multiple Recoveries . . . . .	5-15
	[a] Joinder of Parties . . . . .	5-16
	[b] Multiple Recoveries . . . . .	5-19
§ 5.03	Monetary Remedies . . . . .	5-23
	[1] Damages. . . . .	5-26
	[2] The Violator’s Profits . . . . .	5-29
	[3] Statutory Damages. . . . .	5-34
	[4] Augmentation, Reduction or Remission of Damages . . . . .	5-39
	[a] Augmentation of Damages for Repeated Violations. . . . .	5-40
	[b] Reduction or Remission of Damages. . . . .	5-42.2

## TABLE OF CONTENTS

xvii

	[5] Discretionary Recovery of Attorneys’ Fees and Costs . . . . .	5-42.4
	[6] How Courts Should Exercise Their Discretion . . . . .	5-42.11
§ 5.04	Nonmonetary Remedies . . . . .	5-42.18
§ 5.05	Criminal Sanctions . . . . .	5-43
	[1] Overview . . . . .	5-43
	[2] The Requirement for <i>Mens Rea</i> . . . . .	5-46
	[a] Violation of the Anti-Circumvention Rule . . . . .	5-47
	[b] Violation of the Anti-Trafficking Rules . . . . .	5-50
	[c] CMI Offenses . . . . .	5-56
	[3] Exceptions and Limitations . . . . .	5-58

## CHAPTER 5A

### **The Federal Common Law of Direct and Secondary Liability for Copyright Infringement**

§ 5A.01	Introduction: How the Federal Common Law Lives, and Why It Is Important. . . . .	5A-2
§ 5A.02	Service Providers’ Direct Liability for Infringement on the Internet . . . . .	5A-6
	[1] The “Volitional Conduct” Approach. . . . .	5A-9
	[2] The “Server Test” Approach. . . . .	5A-14
	[3] The “Implied License” or “Social Contract” Approach. . . . .	5A-26
§ 5A.03	Service Providers’ Secondary Liability for Infringement on the Internet . . . . .	5A-33
	[1] The Importance of Secondary Liability in Cyberspace. . . . .	5A-33
	[2] Theories of Secondary Liability . . . . .	5A-42
	[a] “Inducement” Liability: Purposeful Culpability. . . . .	5A-50
	[b] Contributory Infringement: Knowledge . . . . .	5A-56
	[i] The Two-Part Common-Law Test. . . . .	5A-57
	[ii] Others’ Direct Infringement. . . . .	5A-62.2
	[iii] The Impact of <i>Sony</i> . . . . .	5A-63

[c]	Vicarious Liability: Presumed or Inferred Culpability . . . . .	5A-65
[3]	The Causal Nexus Between Secondary Defendants' Acts and Others' Infringement. . . . .	5A-71

## CHAPTER 6

### Limitations on Liability for Service Providers

§ 6.01	Introduction. . . . .	6-3
	[1] Internet Service Providers as "Conduits" for Others' Content. . . . .	6-3
	[2] The Risk of Federal Common-Law Liability for Others' Infringement . . . . .	6-5
	[3] The Section 512 "Solution": A Safe Harbor . . . . .	6-10
	[4] An "End Run" Around Section 512 . . . . .	6-17
	[5] The Policy Basis for the "End Run" . . . . .	6-20
	[6] What Section 512 Does. . . . .	6-30
	[a] Covered Activities . . . . .	6-32
	[b] "Take Down" Conditions. . . . .	6-32.1
	[c] Conditions of Eligibility for "Safe Harbor" . . . . .	6-34
§ 6.02	Who and What Section 512 Covers . . . . .	6-38
	[1] "Service Provider" . . . . .	6-42
	[a] Definition Applicable to Section 512(a) . . . . .	6-43
	[b] Definition Applicable to Other Subsections of Section 512 . . . . .	6-46
	[2] General Eligibility Conditions. . . . .	6-48
	[a] Subsection (i): Terminating "Repeat Infringers" and Accommodating "Standard Technical Measures" . . . . .	6-48
	[b] Designating an Agent to Receive Notification of Infringement . . . . .	6-69
§ 6.03	Activities and Operations Covered . . . . .	6-74.4
	[1] Automatic and Transparent Activities. . . . .	6-81
	[a] Transient "Store and Forward" Services . . . . .	6-82
	[b] Caching. . . . .	6-101
	[i] The Basic Conditions of Section 512(b)(1) . . . . .	6-103



# TABLE OF CONTENTS

xix

	[ii]	The Five Additional Conditions of Section 512(b)(2) . . . . .	6-111
[2]		Volitional and Visible Activities . . . . .	6-114.10
	[a]	Posting . . . . .	6-114.11
	[i]	The Three Conditions for the Limitation on Remedies for Posting . . . . .	6-114.15
	[ii]	The Two Separate “Take Down” Requirements . . . . .	6-114.32
	[iii]	Formal Notification of Infringement . . . . .	6-114.48
	[b]	Linking . . . . .	6-114.74
[3]		Author’s Comment on the “Safe Harbor” Under Section 512 . . . . .	6-114.87
§ 6.04		How Section 512 Limits Remedies . . . . .	6-115
	[1]	Monetary Relief . . . . .	6-115
	[2]	Injunctive Relief . . . . .	6-117
	[a]	“Store and Forward” Services . . . . .	6-118
	[b]	Activities Other Than “Store and Forward” Services . . . . .	6-122
	[c]	Mandatory Considerations . . . . .	6-125
	[d]	<i>Ex Parte</i> Orders . . . . .	6-127
§ 6.05		Subpoenas to Identify Alleged Infringers . . . . .	6-130
	[1]	Constitutional Issues . . . . .	6-138.1
	[a]	First Amendment . . . . .	6-138.6
	[b]	Article III . . . . .	6-138.19
	[c]	Due Process . . . . .	6-138.26
	[2]	Statutory Interpretation . . . . .	6-138.29
	[a]	Technological Background . . . . .	6-138.30
	[b]	To What Section 512 Activities Does Section 512(h) Apply? . . . . .	6-138.34
	[c]	Burdens on Service Providers . . . . .	6-138.51
§ 6.06		Special Rule for Nonprofit Educational Institutions . . . . .	6-138.57
§ 6.07		Other Aspects of Section 512 . . . . .	6-147
	[1]	Misrepresentation Claims . . . . .	6-147
	[2]	Relationship with Other Laws . . . . .	6-156
§ 6.08		Communications Decency Act: Protections for Internet Service Providers Against Liability Beyond Copyright . . . . .	6-161

§ 6.09	Cybercrime: The Computer Fraud and Abuse Act . . . . .	6-205
[1]	Criminal Law for the New Millennium . . . . .	6-205
[2]	Historical Background . . . . .	6-217
[3]	Purpose of the CFAA . . . . .	6-220
[4]	Application of the CFAA . . . . .	6-222
[a]	<i>Mens Rea</i> . . . . .	6-225
[b]	<i>Actus Reus</i> . . . . .	6-227
[i]	Unauthorized Access . . . . .	6-228
[c]	Damages . . . . .	6-250
§ 6.10	Cybersquatting . . . . .	6-259
[1]	Elements of a Federal Anticybersquatting Claim . . . . .	6-265
[2]	Remedies Under the ACPA . . . . .	6-280
[3]	Jurisdictional Authority . . . . .	6-284
[4]	Applicable Defenses . . . . .	6-285
[5]	Contributory Liability . . . . .	6-287
[6]	The Anticybersquatting Consumer Protection Act and the Uniform Domain Name Dispute Resolution Policy . . . . .	6-288
<b>INDEX</b>	. . . . .	<b>I-1</b>