TABLE OF CONTENTS

	onledgements	iii v
	ting Authors	vii
	CHAPTER 1	
A	Constitutional Framework and Evolving Issu	ies
§ 1.01	Obligations of a Defense Attorney	1-3
	[1] Pre-Indictment	1-4
	[a] First Do No Harm [b] Investigating a Client's Memory	1-4
	of Events	1-6
§ 1.02	[2] After Adversary Proceedings Begin Fourth Amendment Regulation of Searches	1-7
0	and Seizures	1-10
§ 1.03	Fifth Amendment Rights	1-14.1
0	[1] Introduction	1-14.1
	[2] The Grand Jury Process	1-14.1
	[3] Insufficient Indictments	1-15
	[4] The Double Jeopardy Clause	1-15
	[5] The Right Against Self-Incrimination	1-17
	[6] Due Process Rights	1-18
	[a] Procedural Due Process	1-19
	[b] Substantive Due Process	1-24
	[c] The Equal Protection Component	
	of the Due Process Clause	1-25
§ 1.04	The Sixth Amendment	1-27
Ü	[1] Introduction	1-27
	[2] Speedy Trial Rights	1-27
	[3] A Public Trial	1-28
	[4] An Impartial Jury	1-29
	xiii	

xiv	DEFENDING FEDERAL CRIMINAL CASES				
	[5] [6] [7]	Venue	1-30		
	[8]	Compulsory Process			
	[9]	Assistance of Counsel			
§ 1.05 § 1.06		Eighth Amendment's Bail Provision ing the Envelope: Splits in the Circuits,	1-35		
	Op	en Issues and Trends			
	[1]	Pre-Trial and Discovery Issues [a] Providing Information to the			
		Government			
		[b] Dismissal of the Indictment [i] Pre-Trial Motions			
		and Waiver			
		[ii] Pre-Indictment Delay [iii] Outrageous Government			
		Conduct			
		[iv] Double Jeopardy			
		Obligations			
		Defendant			
		Tangible Objects [iii] Delayed Disclosure			
		Under <i>Brady</i>			
		Prepared by Agents			
		[v] Electronic Surveillance[vi] The Government's Obligation to Identify Co-Conspirators			
		in Bills of Particulars	1-46		
	[2]	Jury Trial Right	1-46		
	[3]	Evidentiary and Trial Issues	1-47		
		[a] Fourth Amendment Issues	1-47		
		[b] Fifth Amendment Issues[c] Evidentiary Issues Related	1-53		
		to <i>Crawford</i>	1-54		
		Witnesses	1-55		
		Defense Witnesses	1-57		
		Doubt Is Presented to a Jury	1-58		
	[4]	Sentencing			

		1	TABLE OF CONTENTS	٨١
	[5]	A_{j}	Trial Issues— <i>Crawford</i> 's pplication at Post-Conviction	
	F.63		oceedings	1-60
	[6]		dards on Appeal	1-61
		[a]	Fourth Amendment Seizures	1-61
		[b] [c]	Required Pre-Trial Motions Motions to Dismiss	1-61
		[C]	an Indictment	1-61
		[d]	Burdens of Proof on Appeal	1-63
		[J	[i] Plain Error Rule and	
			Modified Plain Error	
			Rule	1-63
			[ii] Whether the Government	
			Should Bear the Burden	
			of Proof for Its	
			Constitutional	1 (
	[7]	The	Violations	1-64 1-65
	[7]	[a]	Status of Some Proposed Reforms . Reconsideration of Qualified	1-0.
		լայ	Immunity	1-65
		[b]	Bail Reform and Decoupling	1 00
		[.]	Parole Violations from	
			Payment of Court Fees	1-66
		[c]	Prisoner Rights, Including the	
			Impact of Covid-19	1-67
		[d]	Juvenile Rights	1-71
			CHAPTER 1A	
	R	epres	sentation Prior to Indictment	
			n	1A-2
§ 1A.02			minal Investigations	1A-4
	[1]	_	ncies Responsible for Investigating	1 4
	[2]		ederal Crimes	1A-4
	[2]		ral Crime Prosecution	1A-11 1A-15
	[3]	[a]	s of Federal Investigation Witness Interviews	1A-16
		[b]	Subpoenas	1A-18
		[c]	Search Warrants and Related	1/1 10
		ר-ו	Techniques	1A-19
		[d]	Undercover Investigations	1A-22
		[e]	Electronic Surveillance	1A-24

xvi	DEFENDING FEDERAL CRIMINAL CASES	
	[i] Pen Registers, Stored Email,	
	and Cell-Site	
	Information	1A-24
	[ii] Title III Wiretapping	1A-29
	[f] Gathering Evidence from Outside	1 4 26
	of the United States	1A-36
	[4] Federal Grand Jury Investigation	1A-40
	[a] The Authority of the	1 4 40
	Grand Jury	1A-40
	[b] Grand Jury Secrecy	1A-43
	[c] The Privilege Against	
	Self-Incrimination and	1 4 46
0 1 4 02	Statutory Immunity	1A-46
§ 1A.03	Issues in Pre-Indictment Defense	1 4 40
	Representation	1A-48
	[1] Target/Subject Distinction	1A-48
	[2] Meeting with the Government	1A-49
	[3] Defense Investigation	1A-50
	[4] Issues that Arise when Deciding	
	Whether to Cooperate with the Government	1A-53
	[5] Proffer Sessions	1A-53 1A-54
	[6] Independent Representation for	1A-34
	Corporate Counsel and Individual	
	Employees, Officers, and Directors	1A-60
	[7] Risks to the Individual Employee from	1A-00
	Interviews with Corporate Counsel	1A-62
	[8] Fee Advancement and Indemnification	1A-62 1A-67
	[9] Standards Applied in Deciding Whether	171-07
	to Charge a Corporation	1A-68
	[10] Deferred Prosecution Agreements,	171-00
	Non-prosecution Agreements and	
	the Use of Corporate Monitors	1A-75
	[11] The Future of Corporate Criminal	111 / 5
	Liability	1A-84
§ 1A.04	Preindictment Presentation to the Government	1A-88
3		
	CHAPTER 1B	
	Pre-Trial Resolutions	
0.10.01		1D 2
§ 1B.01	Introduction	1B-3
§ 1B.02	Declinations.	1B-4
	[1] When Applicable	1B-4
	[2] Enforceablity of Declinations in Court	1B-5

		TABLE OF CONTENTS	xvi
		[a] Declinations Are Not Binding	
		Contracts with the	
		Government	1B-5
		[b] Declinations Are Not Admissible	
		as Evidence of Innocence	1B-6
§ 1B.03		Prosecution Agreements and Deferred	
		osecution Agreements	1B-7
	[1]	When Applicable	1B-7
	[2]	Typical Terms	1B-7
	[3]	Guidelines for Prosecutors	1B-8
	[4]	Judicial Oversight of NPAs and DPA	1B-10
		[a] Judicial Review of the Merits of	
		an NPA or DPA	1B-11
		[b] Judicial Review of an Alleged	
	_	Breach of an NPA or DPA	1B-12
§ 1B.04		unity and Cooperation Agreements	1B-14
	[1]	When Applicable	1B-14
	[2]	Formal Immunity	1B-14
	[3]	Informal Immunity	1B-17
§ 1B.05		d Pleas	1B-22
§ 1B.06		Agreements	1B-23
	[1]	When Applicable	1B-23
	[2]	Factual Stipulations	1B-24.1
	[3]	Agreements to Plead Guilty to	
	F 43	Particular Charges	1B-24.1
	[4]	Prohibition on Additional Charges	
		for Described Conduct	1B-24.2
	[5]	Agreed upon Sentencing Guidelines	15.05
	5.63	and Range	1B-27
	[6]	Defendant Waivers	1B-27
		[a] Right to Indictment	1B-29
		[b] Right to <i>Brady</i> Material	1B-29
		[c] Right to FOIA Request	1B-31
		[d] Right to Plead Not Guilty	1B-32
		[e] Right against Self-Incrimination	1B-33
		[f] Statute of Limitations Defense	1B-34
		[g] Other Trial Rights	1B-35
		[h] Argument Regarding Guideline	15.05
		Calculation at Sentencing	1B-35
0.45.05		[i] Right to Appeal	1B-36
§ 1B.07		eking a Plea Agreement on Appeal	1B-38
	[1]	Establishing that the Plea Agreement	45.00
	F03	Is Invalid	1B-39
	[2]	Establishing that the Government	4
		Breached the Agreement	1B-40

xviii	DE	EFENDING FEDERAL CRIMINAL CASES	
	[3]	Establishing that the Waiver Itself Is Invalid	1B-40
	[4]	Establishing that Enforcement of the Waiver Would Result in a	1D 42
	[5]	"Miscarriage of Justice" Establishing that Ineffective Assistance of Counsel Rendered the Waiver	1B-42
	[6]	Involuntary or Unknowing Establishing that the Sentence	1B-42
	[,]	Was Not in Accordance with the Law	1B-44
	[7]	Establishing that the Sentence Was Affected by Unconstitutional	
		Considerations	1B-45
		CHAPTER 1C	
	Crisis	Communications and Media Strategy	
	CHISIS	Communications and victia Strategy	
§ 1C.01	Intro	duction	1C-3
	[1]	The Court of Public Opinion v.	10.5
		a Court of Law	1C-5
		[a] Litigating to Influence a Court v. the Public	1C-6
		[b] Understanding What "Cuts	10-0
		Through" to the Public	1C-7
	[2]	The Purpose of a Media Strategy	1C-8
	LJ	[a] To Influence the Public	1C-8
		[b] To Obtain Pre-Trial Release and	
		Bail Pending Appeal	1C-8
		[c] To Protect a Client's Other	
		Interests	1C-9
		[d] To Begin Establishing the	
		Defense Theory of the Case	1C-9
		[e] To Keep a Check on the	
		Prosecutor	1C-10
		[i] Touhy Demand for Pre-	
		Trial Government	
		Testimony	1C-10
		[ii] Pre-Trial FOIA Requests	10.12
		for Agency Documents	1C-13
		[iii] The Justice Manual's	
		"Confidentiality and	10.15
		Media Contacts" Policy	1C-15

	TABLE OF CONTENTS	xix
§ 1C.02	The Role of a Media/Communication	
Ü	Strategist	. 1C-25
	[1] To Prepare Defense Counsel to Be	
	Nimble and Outpace Crises	. 1C-25
	[2] To Work as Part of the Legal Team	. 1C-25
	[a] Kovel Letters	. 1C-25
	[b] In-house Crisis Communications	
	Experts	
	[3] To Protect the Client's Brand	. 1C-29
	[4] To Keep the Client in the Best	
	Condition to Survive the	
	Investigation/Charges	. 1C-30
	[5] To Set the Client Up to Survive after	10.20
0.10.00	the Criminal Matter Is Over	
§ 1C.03	Identify Your Client's Interest	
	[1] An Individual v. a Company's Brand.	. 1C-31
	[2] Working with the Client's Employer	10.21
0.1004	and Other Employees	
§ 1C.04	Check the Rules	. 1C-33
	[1] Rules Applicable during Investigations	. 1C-33
	[2] Rules Applicable during Pending	1C 27
	Cases	
	Cases[b] Leaking Confidential	. 1C-37
	Documents	. 1C-37
	[c] Leaking Grand Jury Testimony	
	[3] Statements that May Be Admissible	. 10-30
	against a Client	. 1C-38
	[4] Sanctions.	
§ 1C.05	Crafting the Message	
3	[1] Who is the Audience?	
	[2] Public Statements	
	[a] Providing Backgrounding v.	
	Speaking "On the Record"	. 1C-44
	[b] Who Should Make a	
	Statement?	. 1C-45
	[c] With Which Journalists Should	
	You Speak?	. 1C-46
	[3] Repetition of the Message	. 1C-46
	[4] The Impact of "No Comment"	. 1C-47
§ 1C.06	Weaving the Media Strategy into the	
	Litigation Strategy	. 1C-49
	[1] Writing "Media-Friendly" Motions,	
	Filings, and Briefs	. 1C-49

XX	DEFENDING FEDERAL CRIMINAL CASES	
	[2] Considerations when Making	1C-49
	Dispositive Motions	1C-49 1C-50
§ 1C.07	The Impact of a Successful Media Strategy	1C-50 1C-51
§ 1C.07 § 1C.08	Preparing the Client Who Must Answer	10-31
y 1C.00	Questions Outside of Court	1C-53
§ 1C.09	After the Case Is Over	1C-54
y 10.03	[1] Positioning the Client to Discuss the	
	Case	1C-54
	[2] Strategizing the Message	1C-54
	[3] Staying with the Client Post-Case	1C-54
	CHAPTER 2	
	Bills of Particular	
§ 2.01	Introduction	2-2
§ 2.02	The Role of a Bill of Particulars in	
Ü	Obtaining a Fair Trial	2-5
	[1] History and Requirements of the Rule	
	Providing for a Bill of Particulars	2-5
	[a] The Constitutional Bases for	
	Bills of Particulars	2-5
	[b] The History of Rule 7(f)	2-7
	[c] Bills of Particulars in Modern	
	Prosecutions	2-10
	[2] The Purposes of a Bill of Particulars	2-12
	[a] Preparation for Trial	2-12
	[b] To Avoid Prejudicial Surprise	2-14
	[c] Preclusion of Double Jeopardy	2-15
§ 2.03	Disputing Standard Prosecution Arguments	
	Against Bills of Particulars	2-17
	[1] When Defendants Have a Right to	
	Evidentiary Detail	2-17
	[2] When Defendants Have a Right to	
	Specification of Details Provided in	
	Other Discovery	2-19
	[3] When an Indictment Provides	
	Insufficient Detail	2-21
	[4] Defendants' Need for Evidence of	
	Uncharged Crimes	2-25
§ 2.04	Timing of Requests	2-27
	[1] Informal Requests for Particulars	2-27
	[2] Motions for Particulars	2-27

		TABLE OF CONTENTS	xxi
§ 2.05		essity and Authority for Specific	
		articulars	2-29
	[1]	All Cases: Specification of Date and	2.20
	[2]	Time of Alleged Activity	2-29
	[2]	Fraud Cases: Specification of False	2-32
	[3]	Statements	2-32
	[3]	Co-conspirators	2-35
	[4]	RICO Cases: Specification of Enterprise	2-33
	Γ.1	and Racketeering Acts	2-39
§ 2.06	Affi	rmative Uses of Particulars	2-41
O	[1]	Preventing the Government from	
		Switching Theories During Trial	2-41
	[2]	Use of Amended Bills of Particulars	2-42
		CHAPTER 3	
		CHAITER 3	
		Motions: Bail, Dismissal, Venue,	
		Suppression, and Severance	
§ 3.01	Intro	oduction	3-4
§ 3.02	The	Eighth Amendment's Limits on Bail,	
O		elated Statutes and Rules	3-6
	[1]	Pretrial Bail	3-6
		[a] Release Prior to Trial	3-6
		[b] Release During Trial	3-10
	[2]	Post Conviction Bail	3-10
		[a] Pending Sentencing	3-10
		[b] Pending Appeal	3-11
§ 3.03	Drote	[c] Pending Collateral Attack rial Motion to Dismiss	3-12 3-14
8 3.03	[1]	Standards for Dismissal	3-14
	[2]	Dismissal for Insufficient Evidence	3-14
	[~]	[a] Elements of a Sufficient	5 10
		Indictment	3-18
		[b] Nature of Evidence Supporting	
		Indictment	3-20
		[c] Standards of Review	3-22
		[i] District Court Standard	3-22
		[ii] Appellate Standard	3-23
	[3]	Dismissal for Delay	3-24
		[a] Pre-Indictment	3-24
		[i] Statute of Limitations	3-25

xxii	DEFENI	DING FEDERAL CRIMINAL CASES	
		[ii] Speedy Trial Act	
		Violation	3-25
	[b]	Pretrial	3-27
	F - J	[i] Sixth Amendment	
		Violation	3-27
		[ii] Speedy Trial Act	
		Violation	3-31
		[iii] Rule 48 of the Federal Rules	
		of Criminal Procedure	3-32
	[c]		3-32
§ 3.04		Venue	3-35
0		missal for Improper Venue Under	
		ule 18	3-35
	[a]	Rule 18	3-35
	[b]	Multi-Venue Offenses	3-37
	[c]	Joinder of Multiple Defendants	3-38
	[d]	Joinder of Multiple Offenses	3-38
	[e]	Special Venue Statutes	3-39
	[-1	[i] Mail and Wire Fraud	3-39
		[ii] Securities Fraud	3-39
		[iii] Money Laundering	3-40
		[iv] Internal Revenue Offenses	3-40
	[f]	Challenging Venue: Procedural	
		and Practical Considerations	3-40
		[i] Prior to Trial	3-40
		[ii] At the Close of the	
		Government's Case	3-41
	[g]	Waiver of Proper Venue	3-42
		ions for Change of Venue	3-43
	[a]	Rule 21(a) and the Sixth	
		Amendment Right to a	
		Fair Trial	3-43
		[i] Presumed Prejudice	3-45
		[ii] Actual Prejudice	3-46
		[iii] Burden of Proof	3-47
		[iv] Standard of Review	3-47
		[v] Typical Cases Warranting	
		Change of Venue Under	
		Rule 21(a)	3-47
		[vi] Factors Considered in Ruling	
		on Rule 21(a) Motion	3-48
		[vii] The Role of <i>Voir Dire</i> in a	
		Rule 21(a) Motion	3-53
	[b]	Rule 21(b)	3-54
		[i] Location of Defendants	3-56

		,	TABLE OF CONTENTS	xxiii
			[ii] Location of Possible	
			Witnesses	3-56
			[iii] Location of Events	3-57
			[iv] Location of Documents	
			and Records	3-58
			[v] Disruption of Defendant'	
			Business	
			[vi] Expense to the Parties	
			[vii] Docket Condition of Eac	
			District Involved	
			[viii] Other Factors	
§ 3.05	Motio	on to	Suppress	
§ 5.05	[1]		edure	
	[1]	[a]	Timing of Filing Motion	
		[b]	Notice of Intent to Use	5-05
		[Ս]	Evidence	3-63
		[6]	Prior Statements and Records	3-03
		[c]	of Witnesses	2.64
		[4]	Waiver and Relief from Waiver	
		[d]		
		[e]	Evidentiary Hearing	3-66
		[f]	Ruling on Motion and Appeal	2.67
	[2]	G 1	of Decision	
	[2]		stantive Grounds	
		[a]	Constitutional Violations	
			[i] Fourth Amendment	
			[ii] Fifth Amendment	
			[iii] Sixth Amendment	
		[b]	Statutory Violations	
	[3]		len of Proof	
§ 3.06			Sever	
	[1]	Stan	dards for Severance	
		[a]	Misjoinder	3-79
			[i] Joinder of Offenses—	
			Rule 8(a)	3-80
			[ii] Joinder of Defendants—	
			Rule 8(b)	3-81
			[iii] Attacking Joinder Under	
			Rule 8	3-82
		[b]	Prejudicial Joinder	3-86
			[i] Establishing Prejudice	3-86
			[ii] Attacking Joinder Under	
			Rule 14: The Roadmap	3-87
	[2]	Burc	len of Proof	
	r J	[a]	Misjoinder	
		[b]	Prejudicial Joinder	
		L " J	J	

xxiv	DEF	ENDING FEDERAL CRIMINAL CASES	
	[[c] Attacking the Efficiency	2.04
§ 3.07	Motion	Assumptions of Joinder s for Judgment of Acquittal	3-94 3-96
8 3.07		Motions Before Submission to	3-90
	[+] +	the Jury	3-98
	[2] N	Motions After Jury Verdict or	
		Discharge	3-99
§ 3.08		onal Ruling on a Motion for a	• • • •
	New	Trial	3-101
		CHAPTER 4	
	Governi	ment Disclosure Pursuant to Rule 16 and 18 U.S.C. § 3500	
		and 10 0.5.C. § 5500	
§ 4.01	Introdu	ction: Constitutional Underpinnings	4-3
§ 4.02		Rule of Criminal Procedure 16	4-7
	[1] S	Scope of Discovery	4-7
	[[a] Statements of the Defendant	4-7
		[i] Generally	4-7
		[ii] Organizational Defendants	4-15
		[iii] Defendant's Prior Record	4-16
	[[b] Documents and Tangible	
		Objects	4-18
	[[c] Reports of Examinations	
		or Tests	4-29
	[[d] Expert Witnesses	4-31
		[i] Triggering Expert	
		Disclosure Obligations	4-31
		[ii] Scope and Timing of	
		Expert Discovery	4-32
	[[e] Grand Jury Materials	4-34
	[[f] Witness Lists and Identities	
		of Informants	4-36
	[2] I	Disclosure, Requirements at Sentencing,	
		Forfeiture, Restitution and Other	
		Hearings	4-41
	[3]	Continuing Disclosure Obligations	4-43
		[a] Generally	4-43
	Ì	b] After Trial	4-45
	[4]	Obtaining Discovery of Materials	
		That Are Not Discoverable Under	
		Rule 16	4-45
	[[a] Generally	4-45

			TABLE OF CONTENTS	XXV
		[b]	Protective and Modifying	
			Orders	4-46
		[c]	Parallel Proceedings	4-48
	[5]	Obta	ining Discovery	4-50
		[a]	Letters and Motions	4-50
		[b]	Local Rules and Practices	4-52
		[c]	Department of Justice Guidance	
			Regarding Criminal Discovery	4-53
	[6]	Judio	cial Review of Nondisclosure	
		Pι	arsuant to Rule 16	4-55
		[a]	The Trial Court	4-55
		[b]	Appellate Review	4-56.4
§ 4.03	The	Jencks	Act, 18 U.S.C. § 3500 and Federal	
	R	ule of	Criminal Procedure 26.2	4-57
	[1]	Gene	erally	4-57
	[2]	"Stat	tements" Subject to Disclosure	4-60.1
		[a]	Written Statements by the Witness,	
			Signed or Otherwise "Adopted	
			or Approved"	4-60.1
		[b]	"Substantially Verbatim"	
			Recordings or Transcriptions	
			of a Witness's Oral	
			Statement	4-60.3
		[c]	Statements by the Witness to	
			the Grand Jury	4-60.4
		[d]	Notes, Summaries or Reports of	
			Witness Statements Prepared	
			by Government Agents	4-60.5
	[3]		vance	4-60.6
	[4]		he Possession of the	
			nited States"	4-60.7
	[5]	Timi	ng of Disclosure	4-61
		[a]	In Connection with Trial	4-61
		[b]	In Connection with Sentencing,	
			Forfeiture, Restitution and	
			Other Hearings	4-63
	[6]	Obta	ining Statements	4-63
		[a]	Requests	4-63
		[b]	Motions	4-65
		[c]	Local Rules and Practices	4-66
	[7]		cial Review of Nondisclosure	
			irsuant to 18 U.S.C. § 3500	4-68
		[a]	The Trial Court	4-69
		[b]	Appellate Review	4-70

xxvi	DEFENDING FEDERAL CRIMINAL CAS	SES
§ 4.04	Related Government Obligations	4-73 4-73 s 4-75
	[d] Preparing Transcripts	
	[e] Quality Issues	
	[2] Voluminous Documents	4-81
	Costs of Duplication [b] Identifying Material Relevant	
	to the Defendant	
	[3] Electronic Materials	
	and Costs of Duplication	4-85
	[b] Remedies for Inadequate Disclosure	4-87
	CHAPTER 5	
	Disclosure Pursuant to Brady v. Marylo	and
§ 5.01	Introduction	5-3
§ 5.02	Requesting <i>Brady</i> Material	
Ü	[1] By Letter	5-5
§ 5.03	[2] By Motion	5-5
	Evidence Favorable to the Accused	
	[1] Exculpatory Evidence	
	[2] Impeachment Evidence [a] Benefits Provided to Governme	5-9 nt
	Witnesses	
	[b] Prior Statements and Interviews [3] Information Related to the Defense	
	Theory of the Case	
	Intend to Use at Trial	
§ 5.04	[5] Inadmissible Evidence	
	Evidence That Was Undisclosed	
	[1] Government's Knowledge	5-19

	TABLE OF CONTENTS	xxvii
	[a] Other Government Agencies	5-20
	[b] Private Actors	
	[c] Government Witnesses	
	[d] Corporate Internal Investigations	
	[2] Exceptions	
§ 5.05	Elements of a <i>Brady</i> Violation: Materiality	
3 2.02	[1] Aspects of Materiality	
	[2] Materiality Not Found	
	[a] Defendant's Own Statements .	
	[b] Cumulative Evidence	
§ 5.06	Materiality From Other Perspectives	
§ 3.00	[1] Materiality as Reviewed on Appeal.	
	[2] Problems with the Materiality Standard	
	[a] Materiality as an Unworkable	3-37
	Standard for the Government	5 27
		5-37
	[b] Materiality in the Pretrial	5.20
	Context	
	[3] Materiality in Other Contexts	5-42
	[a] Materiality in the Securities	5 42
	Laws	
	[b] Materiality Under Other Laws.	
	[4] Proposed New Materiality Inquiry	
§ 5.07	When Information Must Be Disclosed	5-46
	[1] Prior to Testimony or	
	Cross-Examination	
	[2] Prior to Sentencing	
	[3] Prior to a Plea	
	[4] Ex Parte Disclosure Proceedings	
	[5] Delayed Disclosure	5-51
§ 5.08	Classified Information Procedures Act and	
	Brady Material	5-54
§ 5.09	Local Rules and Department of Justice Policy	5-56
§ 5.10	The Government's Duty to Preserve	
	Potential Brady Material	5-61
	[1] Exculpatory Value	5-62
	[2] Comparable Evidence	
	[3] Bad Faith	
	[4] Remedies	
§ 5.11	The Burden of Proof	
§ 5.12	Brady and Rule 33	
3	[1] Timing	
	[2] Standards	
§ 5.13	Brady on Collateral Review	
5 5.15	[1] The Section 2254 Heightened Standa	
	for Collateral Review	
	ioi Condician Review	

xxviii	DEFENDING FEDERAL CRIMINAL CASES	
	[a] Section 2254(d)(1)	5-72 5-74
0.514	[2] The Section 2255 Limitations Period	5-75
§ 5.14	Civil Litigation Insights into <i>Brady</i> Rights	5-78
	[1] Absolute Immunity	5-79
	[2] Qualified Immunity	5-80 5-80
§ 5.15	[3] Municipal Liability	5-82
g 3.13	[1] Federal	5-82
	[a] Due Process Protections Act	5-82
	[2] State	5-83
	[a] Georgia	5-83
	[b] New York	5-83
	[c] Virginia	5-83
	CHAPTER 6	
	The Right to Cross-Examine Government	
	Witnesses: Crawford and Beyond	
§ 6.01	Sixth Amendment Confrontation Clause	6-3
	[1] What It Is	6-3
	[2] Purpose	6-4
§ 6.02	[3] Application	6-4
	Out-of-Court Statements	6-5
	[1] Ohio v. Roberts: Indicia of Trustworthiness	6-5
	[a] Unavailability	6-6
	[b] Indicia of Trustworthiness	6-8
	[i] Firmly Rooted Hearsay	0 0
	Exception	6-8
	[ii] Particularized Guarantees	
	of Trustworthiness	6-9
	[2] Tests Governing Statements Inculpating	
	Defendant	6-10
	[a] Bruton v. United States:	
	Inadmissibility of Accomplice's	
	Confessions Inculpating	<i>(</i> 11
	Defendant	6-11
	[b] Admission of Accomplice's Confession Redacted to Omit	
	References to Defendant	6-11
§ 6.03	Crawford v. Washington	6-13
9	· C	

TABLE OF CONTENTS				xxix
[1] [2]			ckground of Pre- <i>Crawford</i> Tests for	6-13
		estimor Right	nial Statements	6-13
	[b]	"T	estimony"	6-14
[3]	The	of	Trustworthiness" Standard ord Approach: Testimonial vs.	6-14
	N• [a]	on-Tes	timonial	6-16
	L3		stimonialStatements in Response to	6-16
		r;;1	Investigating Officers, Prosecutors, Etc	6-18
		[ii]	Other Professionals Called Upon to Aid	
		[iii]	in Prosecution Statements Reporting or	6-21
		[iv]	Describing Criminal Activity	6-22
	[b]		Forensic Laboratory Reports	6-22
	[Մ]		on-Testimonial	6-30
		[i]	Statements Made in Furtherance of a	6.20
		[ii]	Conspiracy Business Records and	6-30
			Public Records	6-31
		[111] [iv]	Excited Utterances Statements of Then-Existing	6-36
			State of Mind Statements Made for Purpose	6-42
		[vi]	of Medical Diagnosis Statements by Children in	6-43
		[41]	Response to Social Workers, Counselors and	
		[vii]	Teachers	6-44
		[viii]	Statements	6-46
			Used as "Testimony" Against Defendant	6-48

XXX	DEFENDING FEDERAL CRIMINAL CASES	
	[ix] Recorded Conversations [c] Dying Declarations	6-50 6-50
	[d] Statements Not Reviewed Under	0-30
	Crawford Standard [i] Statements Not Offered for	6-51
	the Truth of the Matter	
	Asserted	6-51
	Expert Witness [iii] Forfeiture by Wrongdoing	6-54
	Exception	6-55
§ 6.04	Admissibility of Testimonial vs.	
	Non-Testimonial Statements:	
	The Death of <i>Ohio v. Roberts</i> ?	6-56.1
§ 6.05	Application of <i>Crawford</i> on Direct Appeal [1] Retroactive Application on Direct	6-60
	Appeal	6-60
	[2] Standard of Review	6-60
	[a] Harmless Error	6-60
§ 6.06	[b] Plain Error	6-62
	Proceedings	6-65
	[1] Habeas Corpus Proceedings	6-65
	[a] Standard for <i>Habeas Corpus</i> Relief	6-65
	[b] Crawford Does Not Apply Retroactively on Collateral	
	Appeal	6-66
	[2] Sentencing Hearings	6-70
	Hearings	6-73
	[4] Probable Cause Hearings	6-75
	[5] Batson Hearings	6-76
	[6] Virtual, Closed-Circuit Testimony	6-76
	CHAPTER 7	
To	ols for Attacking Typical Government Witne	sses
§ 7.01	Introduction	7-3
§ 7.02	Obtaining Information from Third Parties	7-5
, <u>-</u>	[1] Fact Investigations, Investigators and Defense Experts	7-5
	Dolonso Daporto	,

		TABL	E OF CONTENTS	xxxi
[a]			Defendant Has an Equal ght to Witnesses	7-5
	[b]		stigators and Defense	
		Ex	xperts	7-5
[2]	Subp			7-7
	[a]		ial Subpoenas for Production	
			Documents or Objects	
			retrial Subpoenas	7.7
			Obtain Favorable	7-7
		[i]	Obtain Favorable	7 7
		r;;1	Evidence	7-7
		[ii]	e e e e e e e e e e e e e e e e e e e	7.0
		[iii]	Complex Cases Limitations and Issuance	7-9
		[111]	of Subpoenas Duces	
			Tecum	7-9
		[iv]	Motions to Quash or Modify	1-7
		[11]	Subpoenas <i>Duces</i>	
			Tecum	7-11
		[v]		, 11
		L,1	Quash or Modify	7-14
		[vi]		, 11
		F. 3	Collar Cases Where	
			Corporation Is	
			Cooperating	7-15
	[b]	Trial	Subpoenas	7-16
	[c]		nce, Form and Service	
		of	Subpoenas	7-18
			In General	7-18
			Defendants Unable to Pay	7-19
[3]	Dep		s	7-21
	[a]		ositions Permitted Under	
			ceptional Circumstances	7-21
	[b]	_	ositions as a Sanction for	
			osecutorial Misconduct	7-25
	[c]		ble Constitutional Limitations	
			Government Requests	7.05
	F 13		r Depositions	7-25
	[d]		edural Requirements for	7.07
			epositions	7-27
		[i]	Notice and Timing	7-27
		[ii]	Manner of Taking	7 20
		[;;;]	Depositions Conducted in	7-28
		[iii]		7 20
			Foreign Countries	7-28

xxxii	DEFENDING FEDERAL CRIMINAL CASES	
§ 7.03	Limitations on the Testimony of	
O	Government Witnesses	7-31
	[1] Limitations on Opinion Testimony	7-31
	[a] Expert Witness Testimony	7-32
	[i] Evidentiary Rules	7-32
	[ii] Disclosure Rules	7-37
	[b] Lay Opinion Testimony	7-38
	[c] Summary Witness Testimony	7-45
	[2] Cross-Examination with Documents	7-46.1
	[a] Plea Agreements	7-47
	[b] Prior Statements to Law	
	Enforcement	7-51
	[c] Grand Jury Testimony	7-53
	[d] Polygraph Results	7-54
§ 7.04	Jury Instructions	7-56
	[1] Informants, Accomplices, Immunized	
	Witnesses, Cooperators and	7.56
	Defendants	7-56
	[a] Cautionary Instructions	7-56
	[b] Limiting Instructions	7-59
	[i] Guilty Pleas	7-59
	[ii] Civil Settlements	7-61
	[2] Law Enforcement Agents	7-62 7-63
	[3] Experts	7-63
	[a] Relevant Standards	7-65
	[i] In General	7-65
	[ii] Expert Law Enforcement	7-03
	Witnesses	7-66
	[4] Summary Witnesses	7-66
	[4] Summary Withesses	7-00
	CHAPTER 8	
	False Testimony by Government Witnesses	
§ 8.01	Introduction	8-2
§ 8.02	Elements of a Due Process Violation	8-4
	[1] False Testimony	8-4
	[2] Materiality	8-7
§ 8.03	Government Knowledge of the Falsity	8-13
§ 8.04	Pretrial Motions Based upon Perjury	8-17
	[1] Dismissal of the Indictment Based upon	
	Perjury Before the Grand Jury	8-17
	[2] Suppression Based upon Pretrial	
	Affidavits and Hearings	8-21

	TABLE OF CONTENTS	xxxiii
§ 8.05 § 8.06	Trial Strategies to Deal with Perjury New Trial Motions	8-26 8-30 8-30
	Should Have Known of Perjury [3] When the Government Was Unaware	8-31
	of Perjury	8-34
	Standard	8-39
	Standard	8-42
	[c] The Possibility Standard [d] Circuits in Which the Standard	8-43
0.007	Is Unclear	8-44
§ 8.07	Standard on Appeal	8-47
§ 8.08	Standard for <i>Habeas</i> Review	8-49
	CHAPTER 9	
	The Fifth Amendment Right to Due Process	
§ 9.01	Introduction: The Scope of the Fifth	
Ü	Amendment Due Process Clause	9-3
	[1] Substantive Due Process	9-3
	[2] Procedural Due Process	9-4
	[3] The Equal Protection Component of	0.5
§ 9.02	the Due Process Clause Pretrial Due Process Rights and Related	9-6
8 7.02	Motions	9-7
	[1] Preindictment Delay	9-7
	[a] Prejudice Plus Tactical Advantage	<i>,</i> ,
	Standard	9-8
	[b] The Balancing Test	9-9
	[c] Actual Prejudice	9-11
	[2] Vindictive Prosecution	9-12
	[3] Selective Prosecution	9-18
	[4] Outrageous or Otherwise Improper Government Conduct	9-21
		9-21
	[5] Grand Jury Abuse	7-48
	Grand Jury	9-30
	[i] Failure to Present	, 50
	Exculpatory Evidence to	
	the Grand Jury	9-30

xxxiv	DEFENDING FEDERAL CRIMINAL CASE	S
	[ii] Discrimination in Selecting	
	Grand Jurors	. 9-31
	[b] Perjury Traps	
	Grand Jury Violations [6] Unduly Suggestive Identification	
	Procedures	
	[7] Incarceration Without Charges	
	[8] Extraterritoriality of the Offense	
	[9] Prolonged Incarceration Without Bail.	. 9-43
	[10] Competency Hearings and Forcible	0.45
0.000	Medication of the Defendant	. 9-45
§ 9.03	Due Process Rights to a Fair Trial and	0.40
	Related Issues.	. 9-48
	[1] Discrimination in the Selection of	0.40
	Petit Jurors	
	[2] The Right to be Present	
	[3] Ex Parte Submissions	. 9-52
	[4] Government Interference with	
	Witnesses	. 9-54
	[a] Encouraging Witnesses Not to	
	Meet with Defense Counsel	
	or Testify	. 9-55
	[b] Withholding Immunity	. 9-59
	[c] Denials of Visas	
	[d] Deportation	. 9-63
	[5] The Presumption of Innocence and	
	the Right to Proof Beyond a	
	Reasonable Doubt	. 9-65
	[6] The Right to Fair Notice	. 9-71
	[7] Improper Advocacy at Trial	. 9-72
§ 9.04	Due Process Rights Involving Plea	
	Agreements	. 9-77
	[1] Breaches of Plea Agreements by	
	the Government	. 9-77
	[2] The Government's Burden to Prove	
	Breaches of Plea Agreements	
	by Defendants	. 9-81
§ 9.05	The Right to a Speedy Appeal	. 9-83
Ü	[1] Length of the Delay	
	[2] Reason for the Delay	
	[3] Assertion of a Speedy Appeal Right	
	[4] Actual Prejudice to the Defendant	

	TABLE OF CONTENTS	XXXV
	CHAPTER 10	
	Sentencing	
§ 10.01	Introduction	10-2
	After Booker	10-2
	Guidelines	10-4
	[a] Relevant Conduct	10-4
	[b] Offense Level	10-6
	[c] Criminal History Category	10-6
	[d] Sentencing Range	10-7
	[e] Sentencing for Acquitted Conduct	10-8
	[3] The Section 3553(a) Factors	10-8
	[4] Acceptance of Responsibility	10-9
	[5] Cooperation with the Government	10-10
	[6] Corporate Sentencing	10-11
§ 10.02	The Presentence Investigation Report	10-12
	[1] The Interview	10-13
	[2] Objections	10-14
	[3] The Final Presentence Report	
	and Resolving Objections	10-14
	[4] Resolving Factual Disputes	10-15
§ 10.03	The Defendant's Sentencing Submission	10-16
	[1] Background	10-16
	[2] Guidelines Arguments	10-16
0 10 04	[3] Section 3553(a) Arguments	10-16
§ 10.04	Sentencing Procedures	10-18
	[1] Required Court Determinations	10-18
	[2] The Defendant's Constitutional Right	10 10
	to Be Present and To Speak	10-18
	[3] Victims' Opportunities to Speak	10-20
\$ 10.05	[4] Imposing the Sentence	10-20 10-23
§ 10.05	Probation	
§ 10.06 § 10.07	Fines and Special Assessments	10-24 10-26
§ 10.07 § 10.08	Restitution	10-28
8 10.08		10-28
	[1] Placement	10-28
§ 10.09	£ 3	10-28
§ 10.09 § 10.10	Supervised Release	10-30
§ 10.10 § 10.11		10-32
g 10.11	Appeals	10-33

xxxvi	DEFE	ENDING FEDERAL CRIMINAL CASES	
	[2] St	tandard of Review	10-33
		Procedural Reasonableness	10-35
	Γt	Substantive Reasonableness	10-35
	[3] A	ppeal Waivers	10-37
§ 10.12		st Step Act and	
·		passionate Release	10-39
§ 10.13		al Review (Motions Pursuant	
O		U.S.C § 2255)	10-41
	[1] P	rerequisites to Filing	10-41
		a] Custody	10-41
		Procedural Default	10-42
	Γc	One-Year Statute of	
	-	Limitations	10-43
	Γď		10-43
	[2] R	eviewing the Merits	10-44
		ppeal of a § 2255 Determination	10-46
INDEX			I-1