TABLE OF CONTENTS

PART I
RECOVERY OF MONEY DAMAGES

CHAPTER 1

Introduction to Monetary Damages

§ 1.01 Introduction 1-1
§ 1.02 Historical Background to Monetary Damages 1-4
§ 1.03 General Principles of Monetary Damages 1-7
[1] Determining Whether Injuries May Be Compensated by Damages 1-7
[a] Proximate Cause 1-7
[b] The Standard of Certainty 1-10
[2] Calculating the Damages Award 1-12
[a] Compensatory Damages 1-12
[i] Market Value Measure 1-12
[ii] Lost Opportunity Measure 1-13
[b] Unjust Enrichment 1-14
[c] Augmented Damages 1-17
[i] Punitive Damages 1-18
[ii] Statutory Enhanced Damages 1-19
[d] Interest 1-21
[e] Attorneys' Fees and Costs 1-23

CHAPTER 2

Copyright Infringement Damages

§ 2.01 Introduction 2-3

§ 2.02 Recovery of Monetary Damages 2-5
[1] Actual Damages Attributable to the Infringement 2-5
[a] Requirement of Causal Connection and Some Loss of Anticipated Revenue 2-6
[i] Damages Too Speculative in Nature 2-7
[b] Joint and Several Liability 2-8
[c] Measures of Actual Damages 2-9
[i] Lost Sales (a.k.a. Lost Profits) 2-9
[ii] Reasonable Royalty 2-15
[iii] Market Value Test 2-18.1
[d] Other Compensable Elements of Actual Damages 2-21
[i] Loss of the Value of Being Credited as Author of Work, and the Loss of Good Will Derived Therefrom 2-22
[ii] Value of Sales Lost on Non-Infringed Items 2-22
[iii] Additional Costs 2-22
[e] Punitive Damages 2-22.1
[2] The Infringer's Profits Attributable to the Infringement 2-23
[a] Joint and Several Liability 2-25
[b] Burden of Proof 2-25
[c] Measure of Infringer's Profits 2-27
[i] Profit Apportionment 2-28
[ii] Deductible Expenses 2-31
[iii] Indirect Profits 2-33
[d] Right to a Jury Trial on Defendant's Profits 2-36
[3] Statutory Damages 2-36
[a] Registration Requirement 2-38
[b] Recovery of Statutory Damages at Copyright Owner's Election 2-40
[c] The 1976 Act and the Berne Implementation Act 2-41
[d] The Digital Theft Deterrence and Copyright Damages Improvement Act of 1999 2-42
[e] Digital Millennium Copyright Act 2-43

[f] Benchmarks for Setting Statutory Damages 2-45
[g] Exceptions to the Statutory Minimum 2-49
[i] The Teacher-Librarian-Broadcaster Exception . . . 2-51
[h] Exceptions to the Statutory Maximum 2-52
[i] Failure to Obtain a License 2-55
[i] Multiple Works and Multiple Infringements 2-56
[i] The Infringement of Multiple Works Owned by the Plaintiff 2-56
[ii] The Infringement of Compilations and Derivative Works 2-57
[iii] The Infringement of a Single Copyrighted Work by More than One Infringing Act 2-62
[iv] Multiple Infringers 2-63
[j] Constitutional Limits on Statutory Damages 2-63
[k] Some Statistics on Statutory Damages Awards 2-67
[l] Right to Jury on Statutory Damages 2-68
[m] Evidentiary Hearing on Statutory Damages 2-68.1
[4] Extraterritorial Damages 2-68.2
[5] Interaction of Copyright and Contract Damages 2-68.2
§ 2.03 The United States as Infringer 2-69
[1] Prejudgment Interest 2-70

CHAPTER 3

Patent Infringement Damages

§ 3.01 Introduction 3-4
§ 3.02 Lost Profits 3-7
[1] Statutory Basis for Lost Profits Damage Awards 3-8

[2] The Standard of Proof 3-9
[3] Proving Causation 3-11
[a] "But-For" Causation. 3-11
[i] The Panduit Test 3-11
[ii] Market Share Approach: The Modified Panduit Test 3-12
[iii] The Two-Supplier Market . . . 3-13
[iv] Identical Infringing Products 3-14
[b] Proximate Causation. 3-15
[i] Foreseeability of Remote Losses 3-16
[ii] When the Product at Issue Is Not the Patented Invention. 3-17
[iii] When a Patent Owner Does Not Make or Sell the Patented Device 3-17
[iv] Balancing the Market. 3-19
[4] The *Panduit* Test and Its Progeny 3-19
[a] Demand for the Patented Product 3-20
[i] Demand and Customer Preference 3-21
[ii] Demand and Marketing Capability 3-22
[iii] Demand for the Patented Feature or Demand for the Patented Product?. . . . 3-22
[iv] Defensive Strategies 3-23
[b] Absence of Acceptable Non-Infringing Substitutes. 3-23
[i] In the Same Market 3-26
[ii] Price/Characteristics Differential 3-27
[iii] Lacking One or More Features. 3-27
[iv] On the Market or Merely Available. 3-28
[v] Collateral Estoppel 3-29
[vi] Conclusions on the Acceptable Non-Infringing Substitutes Prong 3-30
[c] Manufacturing and Marketing Capability to Exploit the Demand. 3-31

[i] Standard of Proof for Potential Capability/Capacity 3-32
[ii] Capacity to Make Foreign Sales 3-33
[d] Proof of Amount of Lost Profits 3-33
§ 3.03 Quantifying Lost Profits 3-35
[1] Price Component 3-38
[2] Costs 3-41
[3] Taxes. 3-44
[4] Infringer's Profit Margin 3-44
[5] Split Awards. 3-44
[6] Experts 3-45
[7] Extraterritorial Lost Profits 3-47
§ 3.04 Profits on Non-Infringing Products 3-48
§ 3.04A Future Lost Profits 3-51
§ 3.05 Apportionment. 3-52
[1] When Applicable 3-53
[2] When Not Applicable 3-54
[a] Entire Market Value Rule 3-54
[b] Calculating Lost Profits Based on Direct Evidence of Lost Sales 3-54.3
[3] Difficulties with Apportionment 3-54.3
§ 3.06 Review of Damages Awards 3-54.5
§ 3.07 Established Royalty. 3-54.7
[1] The Established Royalty Criteria 3-54.7
[a] When the Prior Transactions Were Made. 3-54.7
[b] Number of Past Royalties 3-55
[c] Uniformity 3-56
[d] Threat of Suit or Settlement 3-56
[e] Comparable Rights Licensed. 3-57
[2] Minimum or Maximum Recovery. 3-57
§ 3.08 Reasonable Royalty. 3-59
[1] The Reasonable Royalty Rate 3-60
[a] The Analytical Method 3-61
[b] The Hypothetical Negotiation 3-62
[i] Factors Relating to Licensing Activity 3-66
[ii] Factors Relating to the Value of the Patent 3-70
[c] The "25% Rule". 3-70.4
[d] The Nash Bargaining Solution . . . 3-70.5
[2] The Reasonable Royalty Base. 3-70.6

[3] Inability to Derive a Reasonable Royalty . . . 3-70.9
[4] Ongoing Royalties 3-70.9
[5] Provisional Rights 3-70.11
§ 3.09 Special Damages Cases 3-70.14
[1] Design Patents 3-70.14
[2] The United States As Infringer 3-70.16
[a] The Different Valuation Methods 3-70.18
[b] Compensation for Delay 3-71
[3] A State As Infringer 3-73
§ 3.10 Collateral Assessments 3-74
§ 3.11 Limitations on Award of Damages 3-75
[1] Time Period for Recovery of Damages. 3-75
[2] The Six-Year "Lookback" 3-76
[3] Marking 3-78
[a] Requirements of Constructive Notice 3-80
[i] Duty to Mark 3-81
[ii] Sufficiency of Notice 3-83
[b] Actual Notice in Lieu of Marking 3-87
[c] Lack of Sufficient Notice as a Limitation on Recovery 3-88.1
[i] Burden of Proof 3-89
[ii] Effect of Failure to Mark or Notify 3-90
[4] Laches. 3-91
[a] Early Development of Laches in Patent Cases. 3-91
[b] Elements of Laches Under *Aukerman* and Its Progeny 3-93
[i] Unreasonable and Inexcusable Delay 3-94
[ii] Prejudice Resulting from the Delay. 3-97
[iii] The "Bursting Bubble" Presumption and the Burden of Proof 3-99
[iv] Relation to Equitable Estoppel 3-101
[c] Laches After *SCA Hygiene Products* 3-102
[5] Double Recovery 3-103
§ 3.12 Relief for Ongoing Infringement 3-104
§ 3.13 Subsequent Invalidity 3-105

CHAPTER 4

Trademark Infringement Damages

§ 4.01 Introduction 4-2
§ 4.02 When Monetary Damages Are Available 4-5
[1] Historical Development of Monetary Damages for Trademark Infringement. 4-6
[2] The Contemporary Rule on Monetary Damages for Trademark Infringement. 4-7
[a] Actual Confusion 4-8
[b] Willful Infringement. 4-9
§ 4.03 Recovery of Monetary Damages 4-15
[1] Actual Damages Attributable to the Infringement 4-16
[a] Lost Profits 4-17
[i] Price Component 4-18
[ii] Cost Component. 4-18
[iii] Lost Sales 4-18
[b] Price Erosion 4-20
[c] Damage to the Mark 4-21
[d] Cost of Corrective Advertising . . . 4-22
[2] Reasonable Royalty 4-25
[3] The Infringer's Profits 4-27
[a] The Infringer's Sales 4-28.1
[b] Apportionment of the Infringer's Profits 4-28.2
[c] The Infringer's Costs and Other Deductions/Specific Items 4-30
[i] Overhead and General Administrative Costs 4-33
[ii] Cost of Goods or Raw Materials 4-33
[iii] Labor Costs and Management Salaries 4-33
[iv] Taxes. 4-34
[v] Advertising Costs 4-35
[vi] Start-Up Costs 4-35
[vii] Depreciation 4-36
[viii] Transportation and Storage Costs 4-36
[ix] Discounts, Bad Debts, Returns and Unsold Inventory. 4-36
[x] Costs of Corrective Action. . . 4-36

[xi] Costs of the Accounting. . . . 4-36
[xii] Pass-Through Profits 4-37
[d] The Accounting Period 4-37
[e] Right to a Jury Trial 4-38
[4] Preemption of State Law Remedies 4-41
[5] Statutory Damages for Counterfeiting . . . 4-42
[a] "As the Court Considers Just". . . . 4-45
[b] "Per Counterfeit Mark Per Type of Goods or Services" 4-48
[6] Statutory Damages for Cybersquatting . . . 4-49

CHAPTER 5

Damages for the Misappropriation of Trade Secrets

§ 5.01 Introduction 5-1
§ 5.02 Reasonable Royalty Under Section 2 of the Uniform Trade Secrets Act 5-5
§ 5.03 Damages Under Section 3 of the Uniform Trade Secrets Act 5-7
[1] Actual Loss. 5-7
[a] Lost Sales 5-8
[b] Other Lost Revenue 5-10
[c] Loss of Value of the Misappropriated Trade Secret. . . 5-11
[2] Unjust Enrichment 5-12
[3] Reasonable Royalty 5-19
§ 5.04 Limitations on Damage Awards. 5-23
[1] Equitable Limitations. 5-23
[2] Temporal Limitations. 5-24
§ 5.05 Review of Damage Awards 5-27
§ 5.06 The Defend Trade Secrets Act of 2016. 5-29
[1] Monetary Damages 5-29
[2] Injunctive Relief. 5-29
[3] Civil Seizures 5-30

CHAPTER 6

Remedies for Internet Infringement

§ 6.01 Introduction 6-2
[1] The Internet and the World Wide Web 6-3

[2] Intellectual Property Infringement on the Internet 6-4
[3] Infringement and Internet Service Providers 6-7
§ 6.02 Copyright Infringement on the Internet 6-9
[1] Types of Infringement 6-9
[2] Remedies 6-11
[a] Summary of Remedies for Copyright Infringement 6-11
[b] Remedies Against Direct Infringers 6-12
[i] Damages 6-12
[ii] Injunctive Relief 6-14
[c] Remedies Against ISPs 6-16
[i] Pre-Digital Millennium Copyright Act 6-17
[ii] Post-Digital Millennium Copyright Act 6-18
§ 6.03 Trademark Infringement and Dilution on the Internet 6-28
[1] Types of Infringement 6-28
[a] Generally 6-28
[b] Types of Internet Infringement . . . 6-30
[i] Domain Names and Trademark Rights 6-30
[ii] Linking and Framing 6-36
[iii] Search Engines, Metatags and Key Words 6-37
[2] Unique Remedies Issues 6-40
[a] Summary of Remedies for Trademark Infringement 6-40
[b] Damages 6-41
[c] Injunctive Relief 6-41
[d] Injunctive Relief Against Domain Name Registrars 6-45
[e] Attorneys' Fees and Costs 6-47
[f] Statutory Damages for Cybersquatting 6-47
§ 6.04 Trade Secret Infringement on the Internet 6-50
[1] Generally 6-50
[2] Unauthorized Disclosures of Trade Secrets on the Internet 6-50
[a] Summary of Remedies for Trade Secret Infringement 6-50
[b] Remedies for Unauthorized Disclosure of Trade Secrets on the Internet 6-51

CHAPTER 7

Augmented Damages

§ 7.01 Introduction 7-2
§ 7.02 Augmented Damages for Copyright Infringement 7-3
[1] Increased Damages Under the Copyright Act of 1976 7-3
[2] State Law Claims 7-5
§ 7.03 Augmented Damages for Patent Infringement 7-7
[1] Increased Damages Under the Patent Act 7-7
[2] Willful Patent Infringement 7-11
[3] The *Halo Electronics* Decision 7-14
[4] Pre-*Seagate Technology* Caselaw 7-16
[a] Knowledge 7-18
[b] Affirmative Duty of Due Care 7-20
[i] Opinion Regarding Infringement 7-21
[ii] Competence of Opinion 7-23
[iii] Good Faith Reliance on Opinion 7-28
[c] Good Faith Attempts to Design Around/Avoid Copying 7-29
[d] Good Faith Challenges to Infringement 7-30
[e] Other Factors 7-32
[5] Procedural Issues 7-33
[6] Amount of Increased Damages 7-34.1
§ 7.04 Augmented Damages for Trademark Infringement 7-35
[1] Increased Damages Under the Lanham Act 7-35
[2] Factors 7-37
[3] Procedural Issues 7-39
[4] Amount of Increases 7-41
[5] Increased Damages for Trademark Counterfeiting 7-41
[6] State Law Claims 7-43
§ 7.05 Augmented Damages for Trade Secret Misappropriation 7-47
[1] Increased Damages Under the Uniform Trade Secrets Act 7-47
[2] State Law Claims 7-48

[3] Increased Damages Under the Defend Trade Secrets Act 7-52

CHAPTER 8

Recovery of Attorneys' Fees and Costs

§ 8.01 Introduction 8-2
§ 8.02 The "American Rule" 8-5
§ 8.03 Statutory Basis for Recovery of Attorneys Fees' in Intellectual Property Litigation 8-8
[1] Timing of Motion for Attorneys' Fees . . . 8-10
[2] Non-Statutory Recovery of Attorneys' Fees 8-11
§ 8.04 Award of Attorneys' Fees Under the Copyright Act of 1976 8-12
[1] Registration as a Prerequisite 8-12
[2] Prevailing Party Defined 8-13
[a] Multiple Causes of Action 8-14
[b] Multiple Works at Issue 8-15
[c] Multiple Parties 8-15
[d] Minimal or Nominal Recovery by Copyright Owner 8-16
[3] Awards to Defendants 8-17
[4] Awards in the Court's Discretion 8-19
[a] Factors Favoring an Award 8-21
[i] Willful Infringement 8-23
[ii] Litigation Misconduct 8-24.1
[b] Factors Disfavoring an Award 8-27
[i] Innocent Infringement 8-27
[ii] Conduct of the Litigation . . . 8-28
[5] Reasonable Fees 8-29
[6] Standard of Review on Appeal 8-34.2
§ 8.05 Award of Attorneys' Fees Under the Patent Act 8-35
[1] Prevailing Party 8-36
[a] Arising Under the Patent Laws . . . 8-37
[b] Multiple Causes of Action 8-39
[c] "Split" Verdicts 8-40
[2] "Exceptional" Cases 8-41
[a] Awards to Prevailing Patent Owners 8-44
[b] Awards to Prevailing Defendants 8-45

[i] Bad Faith Filing of a Patent Infringement Action 8-46
[ii] Litigation Misconduct 8-48
[iii] Fraud or Inequitable Conduct During the Patent Prosecution 8-50
[3] Discretion 8-52
[4] Reasonable Attorneys' Fees 8-55
[5] Standard of Review on Appeal 8-59
[6] Award of Attorneys' Fees Outside the Patent Act 8-60
[7] Attorneys' Fees on Appeal of a PTAB Decision 8-62
§ 8.06 Award of Attorneys' Fees in Trademark Litigation 8-63
[1] Prevailing Party 8-63
[2] "Exceptional" Cases 8-65
[a] Awards to Trademark Owners 8-71
[b] Awards to Alleged Infringers 8-74
[3] Discretion 8-76
[4] Trademark Counterfeiting 8-78
[5] Reasonable Attorneys' Fees 8-79
[6] Attorneys' Fees on Appeal 8-81
[7] Attorneys' Fees on Appeal of a TTAB Decision 8-82
§ 8.07 Award of Attorneys' Fees in Trade Secret Litigation 8-83
[1] Prevailing Party Defined 8-83
[2] Special Circumstances 8-85
[3] Discretion 8-87
[4] Reasonable Fees 8-88
[5] The Defend Trade Secrets Act 8-90
§ 8.08 Award of Attorneys' Fees Against the United States 8-91
§ 8.09 Costs 8-93
[1] Electronic Discovery Costs 8-96
[2] Expert Witness Fees 8-99
[3] Other Expenses 8-102

CHAPTER 9

Interest on Monetary Awards

§ 9.01 Introduction 9-1
§ 9.02 Prejudgment Interest 9-4

[1] Under the Patent Act 9-4
[a] Historical Standard 9-4
[b] Modern Standard 9-6
[c] Federal Circuit Cases 9-7
[d] Calculating the Award 9-9
[2] Under the Copyright Act 9-14
[a] Decisions Under the 1909 Act . . . 9-14
[b] Decisions Under the 1976 Act . . . 9-14.1
[c] Calculating the Award 9-18
[3] Under Trademark Law 9-18.1
[a] Ordinary Trademark Infringement 9-19
[i] Calculating the Award 9-21
[b] Trademark Counterfeiting 9-22
[i] Calculating the Award 9-23
[4] Under the Uniform Trade Secrets Act . . . 9-24
§ 9.03 Postjudgment Interest 9-27
[1] Federal Cases 9-27
[2] Misappropriation of Trade Secret Cases 9-29

PART II
STOPPING FURTHER INFRINGEMENT

CHAPTER 10

Introduction to Nonmonetary Remedies

§ 10.01 Introduction 10-1
§ 10.02 Historical Background to the Development of Equity 10-3
§ 10.03 General Principles of Equity 10-7
[1] Procedural Aspects of Equity 10-7
[a] No Jury 10-7
[b] Equitable Defenses 10-8
[i] Laches 10-8
[ii] Unclean Hands 10-9
[2] Remedial Aspects of Equity 10-11
[a] No Adequate Remedy at Law 10-11
[b] The Balance of the Hardships 10-13
[c] The Public Interest 10-13
§ 10.04 Nonjudicial Remedies 10-15
[1] The Customs Service 10-15
[2] The International Trade Commission . . . 10-16

CHAPTER 11

Injunctive Relief in Intellectual Property Cases

§ 11.01 Introduction 11-3
§ 11.02 General Federal Statutes, Rules and Case Law Governing Injunctive Relief 11-5
[1] Preliminary Injunctions 11-5
[a] Governing Statutes and Rules 11-5
[b] The "Four-Factor" and "Either-Or" Tests 11-6.1
[c] Other General Considerations 11-9
[2] Temporary Restraining Orders 11-11
[a] Grounds for Issuing a TRO 11-11
[b] Format of a TRO 11-12
[c] *Ex Parte* TROs 11-12
[3] Prohibitory, Mandatory and All-Relief Injunctions 11-16
[a] Mandatory Injunctions 11-17
[b] All-Relief Injunctions 11-18
[4] Bond Requirement 11-19
[5] Remedies for Violations or Improper Grant of Injunctions 11-20
[6] Permanent Injunctions 11-22
[7] Applicability to Third Parties 11-22.1
[8] Appeal of Injunctive Relief 11-22.2
[a] Temporary Restraining Orders . . . 11-22.3
[b] Preliminary Injunctions 11-22.3
[c] Permanent Injunctions 11-22.4
[d] Stay of Injunction Pending Appeal or Reconsideration 11-22.4
[e] Right to Expedited Appeal 11-23
§ 11.03 Injunctive Relief Under the Copyright Act 11-24
[1] The Presumption of Irreparable Harm . . . 11-24
[2] Factors to Consider in Analyzing Irreparable Harm 11-27
[a] Inadequacy of Money Damages 11-27
[i] Infringer's Ability to Pay Damages 11-27
[ii] Loss of Customers and Goodwill 11-27
[b] Duration or Time-Value of Copyright 11-28
[c] Delay 11-29
[d] Cessation of Infringement 11-30

[3] Preliminary Injunctions 11-31
[a] Likelihood of Success 11-31
[i] Validity and Right to Enforce 11-31
[ii] Infringement 11-32
[b] The Balance of Hardships 11-33
[c] The Public Interest 11-34
[4] Temporary Restraining Orders 11-35
[5] Permanent Injunctions 11-36
§ 11.04 Injunctive Relief Under the Patent Act 11-38
[1] Irreparable Harm 11-38
[a] Inadequacy of Money Damages . . . 11-39
[i] Infringer's Ability to Pay Damages 11-40
[ii] Loss of Market Share 11-41
[iii] Loss of Goodwill and Reputational Harm 11-42
[b] Delay and Selective Enforcement . . . 11-43
[c] Cessation of Infringement 11-44
[d] Length of Patent Term 11-44
[e] Standard Essential Patents 11-44
[2] Preliminary Injunctions 11-45
[a] Likelihood of Success 11-46
[i] Title 11-47
[ii] Validity 11-47
[iii] Infringement 11-50
[b] The Balance of Hardships 11-51
[c] The Public Interest 11-52
[3] Temporary Restraining Orders 11-52
[4] Stay Pending Reexamination 11-53
[5] Permanent Injunctions 11-54
[a] No Double Recovery 11-56
[b] Laches 11-56
§ 11.05 Injunctive Relief Under the Lanham Act 11-56.2
[1] The Presumption of Irreparable Harm . . . 11-56.2
[2] Factors for Analysis of Irreparable Harm 11-56.5
[a] Inadequacy of Money Damages . . . 11-56.5
[i] Loss of Customers and Goodwill 11-56.5
[ii] Infringer's Ability to Pay Damages 11-56.6
[b] Delay and Selective Enforcement 11-56.7
[c] Cessation of Infringement 11-57

[3] Preliminary Injunctions 11-58
[a] Likelihood of Success 11-58
[i] Validity and Right to Enforce 11-58
[ii] Infringement and Likelihood of Confusion. 11-59
[b] The Balance of Hardships 11-61
[c] The Public Interest. 11-62
[4] Temporary Restraining Orders 11-63
[5] Permanent Injunctions 11-64
§ 11.06 Injunctive Relief Under the Uniform Trade Secrets Act 11-68
[1] The Presumption, or Lack of Presumption, of Irreparable Harm . . . 11-69
[2] Factors for Analysis of Irreparable Harm 11-72
[a] Loss of Customers and Goodwill. 11-72
[b] Delay. 11-72.1
[3] Preliminary Injunctions 11-72.2
[a] Likelihood of Success 11-72.2
[i] Existence of a Trade Secret. . . 11-73
[ii] Likelihood of Misappropriation and Inevitable Disclosure 11-73
[b] The Balance of Hardships 11-76
[c] The Public Interest. 11-77
[4] Temporary Restraining Orders 11-77
[5] Permanent Injunctions 11-79

CHAPTER 12

Exclusion of Infringing Products from Importation and Other International Trade Remedies

§ 12.01 Introduction 12-3
§ 12.02 Problems in Extraterritorial Enforcement 12-6
[1] Different Perceptions of Intellectual Property Abroad 12-6
[2] Difficulties in Obtaining Adequate Remedies Against Intellectual Property Infringement Abroad 12-7
§ 12.03 Exclusion by Customs 12-9
[1] Imported Products Infringing a United States Patent 12-9

[2] Imported Products Infringing a United States Trademark 12-11
[3] Imported Products Infringing a United States Copyright 12-14
[4] Imported Products Infringing a Trade Name/Trade Style 12-18
[5] Customs' Dissemination of Information to the Field 12-19
§ 12.04 Remedies Against the Importation of Gray Market Goods 12-21
[1] Definition of Gray Market Goods 12-21
[2] Trademarks 12-23
[a] Primary Statutory Bases for Trademark Protection from Gray Market Goods 12-23
[b] Common Ownership and Control Exception to Section 526 and Section 42 12-24
[c] Denial of Entry, Detention, and Seizure of Trademarked Gray Market Goods 12-27
[d] Exceptions to Customs Regulations 12-27
[e] Procedural Aspects of Trademark Enforcement by Customs 12-29
[i] Recordation with Customs . . . 12-29
[ii] Obtaining Lever Brothers Protection 12-30
[3] Copyright 12-31
[a] Primary Statutory Bases for Copyright Protection from Gray Market Goods 12-31
[b] Copyright Enforcement by Customs 12-32
[c] First Sale Doctrine 12-33
[4] International Trade Commission Remedies 12-34
§ 12.05 Exclusion of Infringing Merchandise Under Section 337 of the Tariff Act 12-36
[1] Introduction to Section 337 Proceedings 12-36
[2] Exclusion Orders 12-36
[3] Cease and Desist Orders 12-39
[4] Modification or Revocation of Exclusion or Cease and Desist Orders 12-39

§ 12.06 Other International Trade Remedies for Intellectual Property Rights Violations 12-41
[1] Section 301 12-41
[2] The World Trade Organization (WTO) 12-44
[a] Rights Protected Under the Agreement on Trade Related Aspects of Intellectual Property 12-45
[b] Copyrights and Related Rights . . . 12-46
[c] Trademarks and Service Marks 12-46.1
[d] Geographical Indications 12-46.2
[e] Industrial Designs. 12-47
[f] Patents. 12-47
[g] Layout Designs (Topographies) of Integrated Circuits 12-49
[h] Protection of Undisclosed Information. 12-50
[i] Enforcement of Intellectual Property Rights Under TRIPS 12-50
[i] Dispute Prevention and Settlement: Departures from GATT. 12-50
[ii] Transitional Arrangements. . . 12-52
[j] Provisions of Enforcement: General Obligations of the Member Nations to Provide Adjudicatory and Enforcement Mechanisms 12-53
[k] Civil and Administrative Procedures and Remedies 12-54
[l] Provisional Measures 12-56
[m] Special Requirements Related to Border Measures 12-57
[n] Criminal Procedures. 12-59
[o] The Dispute Settlement Understanding: Provisions Governing Disputes Between Member Nations. 12-60
[p] The Future of Special 301 Within the WTO Framework. . . 12-63
[3] The North American Free Trade Agreement 12-64
[a] Areas Protected: Additions to TRIPS Protections 12-64

[b] Enforcement Provisions: Internal Requirements 12-66
[i] Civil and Administrative Procedures. 12-67
[ii] Criminal Procedures and Penalties 12-67
[iii] Border Enforcement 12-67
[iv] Dispute Settlement Provisions 12-68

CHAPTER 13

Civil Raids and Seizures

§ 13.01 Introduction 13-2
§ 13.02 Civil Seizures in Copyright Litigation. 13-5
[1] The Copyright Act of 1976. 13-5
[2] The Copyright Rules of Practice. 13-7
§ 13.03 Civil Seizures in Trademark Litigation 13-10
[1] Seizures in Ordinary Trademark Litigation 13-10
[2] *Ex Parte* Pretrial Seizures in Trademark Counterfeiting Litigation. 13-11
[a] Procedural Requirements for Obtaining an *Ex Parte* Seizure Order 13-13
[b] Substantive Requirements for Obtaining an *Ex Parte* Seizure Order 13-15
[c] Conducting a Raid to Execute the Seizure Order. 13-16
[d] The Post-Seizure Hearing 13-17
[e] Wrongful Seizures 13-18
[f] Appellate Review. 13-18.1
§ 13.04 Civil Seizures in Trade Secret Cases. 13-19
[1] The Uniform Trade Secrets Act 13-19
[2] Other State Law Remedies 13-20
[3] The Defend Trade Secrets Act 13-21
§ 13.05 Establishing the Required Facts to Obtain a Civil Seizure Order. 13-22.2
[1] The Infringement Has Taken a Particular, Tangible Form 13-22.2
[2] The Infringement is Occurring Within the Jurisdiction of the Court. 13-23
[3] The Infringement Will Continue Absent a Seizure Order. 13-23

[4] The Harm to the Intellectual Property Owner Can Be Halted by a Seizure Order 13-24
§ 13.06 Constitutional Issues in Civil Raids and Seizures 13-25
[1] Due Process Concerns 13-25
[2] Unreasonable Search Concerns 13-26
[a] Who May Conduct the Search and Seizure 13-27
[b] What Premises May Be Searched 13-28
[c] What May Be Seized 13-29
§ 13.07 Destruction and Other Disposition of Infringing Articles 13-31

INDEX I-1